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Takeaways/Projects

# (Higher) Categorical Galois Theories for the Working Mathematician

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 $\begin{array}{l} Introduction/Motivation \\ \bullet 00000 \end{array}$ 

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## How to Crack a Walnut?

hammer and chisel, or...

" ...why not simply water? ...through weeks and months—when the time is ripe, hand pressure is enough, the shell opens like a perfectly ripened avocado! ...the sea advances insensibly in silence, nothing seems to happen, nothing moves, the water is so far off you hardly hear it. . . yet it finally surrounds the resistant substance. " — Alexander Grothendieck

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### Language...

Consider:

speech and listening

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## Language...

Consider:

- speech and listening
- a vibrating drum (e.g. tympanic membrane)
  - $\bullet \ \rightsquigarrow \text{Bessel equations:}$

$$x^2y'' + xy' + (x^2 - p^2)y = 0$$

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 $x^{2}y'' + xy' + (x^{2} - p^{2})y = 0$ 

(Some) other applications:

wave equations for particles



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 $x^{2}y'' + xy' + (x^{2} - p^{2})y = 0$ 

(Some) other applications:

- wave equations for particles
- seismology

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 $x^{2}y'' + xy' + (x^{2} - p^{2})y = 0$ 

(Some) other applications:

- wave equations for particles
- seismology
- structure of DNA

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## Why stop at understanding vibrations of drums?

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#### Question

To what extent is a differential equation solvable ... in terms of a given set of elementary functions?

E.g.

• Bessel equation solutions are expressible in terms of sin and cos for  $p=n+1/2,\ n\in\mathbb{Z}$ 

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- otherwise Bessel equation solutions are not expressible in elementary terms

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- otherwise Bessel equation solutions are not expressible in elementary terms

• 
$$y' = 2xy$$
 has "non-elementary" solutions

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#### Question

Given a linear ordinary differential equation with solutions, somewhere, (say in  $C^{\infty}(\mathbb{C})$ ),

to what extent are its solutions expressible in terms of a given set of elementary functions ?

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#### Question

Given a

polynomial equation with solutions, *in its algebraic closure,* to what extent are its solutions expressible in terms of a given set of elementary numbers?

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#### Question

Given a

polynomial equation with solutions, *in its algebraic closure,* to what extent are its solutions expressible in terms of a given set of elementary numbers?

• Galois Theory holds the key

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Idea: Given a field k and a polynomial  $p(x) \in k[x]$  the smallest field  $k_p$  containing all of its roots. (A.K.A. "splitting field" of p over k)

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1 
$$\mathbb{Q} \hookrightarrow \mathbb{R}$$

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- $\mathbb{Q} \hookrightarrow \mathbb{R}$
- 2  $\mathbb{Q} \hookrightarrow \mathbb{Q}(\sqrt[3]{2})$

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Idea: Given a field k and a polynomial  $p(x) \in k[x]$  the smallest field  $k_p$  containing all of its roots. (A.K.A. "splitting field" of p over k)  $\rightsquigarrow$  new goal: understand finite, normal field extensions over k Issues:

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1  $\mathbb{Q} \hookrightarrow \mathbb{R}$ 2  $\mathbb{Q} \hookrightarrow \mathbb{Q}(\sqrt[3]{2})$ 3  $\mathbb{Q}/(x^2 - 2)$  vs.  $\mathbb{Q}/(x^4 - 4x^2 + 4)$  Introduction/Motivation Classical Galois Theory Galois Theories

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Idea: Given a field k and a polynomial  $p(x) \in k[x]$  the smallest field  $k_p$  containing all of its roots. (A.K.A. "splitting field" of p over k)  $\rightsquigarrow$  new goal: understand finite, normal, and separable field extensions over k locuses

Issues:

1)  $\mathbb{Q} \hookrightarrow \mathbb{R}$ 2)  $\mathbb{Q} \hookrightarrow \mathbb{Q}(\sqrt[3]{2})$ 3)  $\mathbb{Q}/(x^2 - 2)$  vs.  $\mathbb{Q}/(x^4 - 4x^2 + 4)$ 

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#### Setup:

• Given a field k, consider

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#### Setup:

- Given a field k, consider
- finite field extensions...

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#### Setup:

- Given a field k, consider
- finite field extensions...
- which are normal...



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#### Setup:

- Given a field k, consider
- finite field extensions...
- which are normal...
- and separable



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#### Setup:

- Given a field k, consider
- finite field extensions...
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#### Question

Which of these finite "Galois" field extensions come from polynomials whose roots are "elementary" over k?

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# Why?

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## Galois Theorem for Finite Field Extensions

#### Theorem

#### Fixing a finite galois extension $i: K \hookrightarrow L$ , we find

intermediate	$\simeq$	subgroups of the
splitting extensions		galois group

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## Galois Theorem for Finite Field Extensions



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Which of these finite "Galois" field extensions come from polynomials whose roots are "elementary" over k?

• a polynomial has all roots expressible by radicals only if its Galois group is solvable.

• e.g.  $x^5 + 2x + 2$  has galois group  $S_5$ 



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• a length l is constructible by ruler and compass only if  $Gal(\mathbb{Q}(l)/\mathbb{Q})$  has order  $2^k$ 

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- a length is constructible by ruler, compass, and folding only if its galois group has order 2<sup>j</sup>3<sup>k</sup>

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Which of these finite "Galois" field extensions come from polynomials whose roots are "elementary" over k?

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Can we use this for diff eq's?

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#### Setup:

#### • Given a differential field k, consider
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#### Setup:

- Given a differential field k, consider
- finite differential field extensions...

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#### Setup:

- Given a differential field k, consider
- finite differential field extensions...
- which are *differentially* normal...



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#### Setup:

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#### Setup:

- Given a differential field k, consider
- finite differential field extensions...
- which are *differentially* normal...?

# STOP!!!!

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### How to Crack a Walnut

• hammer and chisel, or...

" ...why not simply water? "

— Alexander Grothendieck

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# Galois Theorem for Finite Field Extensions





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### Galois Theorem for Covering Spaces



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### Galois Theorem for Affine Schemes





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### Galois Theorem for Schemes



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### Some Other Instances

• commutative rings (as 'backward affine schemes)

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- commutative rings (as 'backward affine schemes)
- 'commutative' ring spectra
  - $\bullet\,$  or sheaves of them  $\rightsquigarrow$  differential cohomology

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- commutative rings (as 'backward affine schemes)
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- differential schemes

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- commutative rings (as 'backward affine schemes)
- 'commutative' ring spectra
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- differential schemes
- Iogical schemes

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- commutative rings (as 'backward affine schemes)
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  - $\bullet\,$  or sheaves of them  $\rightsquigarrow$  differential cohomology
- differential schemes
- Iogical schemes
- derived schemes

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- commutative rings (as 'backward affine schemes)
- 'commutative' ring spectra
  - $\bullet\,$  or sheaves of them  $\rightsquigarrow$  differential cohomology
- differential schemes
- Iogical schemes
- derived schemes
- stable homotopy theories

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- commutative rings (as 'backward affine schemes)
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- differential schemes
- Iogical schemes
- derived schemes
- stable homotopy theories

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#### Theorem (Borceux et al. 2001)

Given a category  $\ensuremath{\mathcal{C}}$  with pullbacks, and

$$F_1, F_2 : \mathcal{C}^{op} \to \underline{Cat}$$
$$\alpha : F_1 \Rightarrow F_2$$
$$\sigma : L \to K (in \ \mathcal{C})$$

such that  $\alpha$  is fully faithful on each component, and  $\sigma$  is of effective descent with respect to  $F_2$ , we have the following equivalence

 $Split_{\alpha}(\sigma) \simeq F^{\mathbb{G}_{\sigma}}$ 

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#### Theorem (R. 2022)

Given an  $\infty$ -category  $\mathcal C$  with pullbacks, and

$$F_1, F_2 : \mathcal{C}^{op} \to \mathcal{C}at_{\infty}$$
$$\alpha : F_1 \Rightarrow F_2$$
$$\sigma : L \to K (in \mathcal{C})$$

such that  $\alpha$  is fully faithful on each component, and  $\sigma$  is of effective descent with respect to  $F_2$ , we have the following equivalence

 $Split_{\alpha}(\sigma) \simeq \llbracket \widehat{\mathbb{G}_{\sigma}}, F_1 \rrbracket$ 

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#### Theorem (Stone Duality)

We have an adjunction:

$$\operatorname{Bool}^{op} \xrightarrow[]{\operatorname{Sp}}{\stackrel{\bot}{\underset{\mathscr{R}}{\longrightarrow}}} \operatorname{Prof}$$

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#### Theorem (Stone Duality (restricted))

We have an adjunction:

$$\operatorname{cRing}^{op} \xrightarrow[]{\operatorname{Sp}}{\overset{\operatorname{Sp}}{\underset{\mathscr{R}}{\longleftarrow}}} \operatorname{Prof}$$

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#### Theorem (Stone Duality (restricted))

We have an adjunction:

$$\operatorname{cRing}^{op} \xrightarrow[]{\operatorname{Sp}}{\stackrel{}{\underset{\mathscr{R}}{\longleftarrow}}} \operatorname{Prof}$$

 $\bullet \ \rightsquigarrow$  Galois theory for ring extensions

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#### Theorem (Stone Duality (restricted))

We have an adjunction:

$$\operatorname{cRing}^{op} \xrightarrow[]{\overset{\operatorname{Sp}}{\xleftarrow{}}} \\ \underset{\mathscr{R}}{\overset{\operatorname{Sp}}{\xleftarrow{}}} \operatorname{Prof}$$

 $\bullet \ \rightsquigarrow$  Galois theory for ring extensions

#### Observation

- $\otimes$  is pullback in cRing<sup>op</sup>.
- For  $R \in \operatorname{cRing}$  we have R-Alg  $\simeq \operatorname{cRing}_{R/}$

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$$\operatorname{cRing}^{\mathsf{op}} \xrightarrow[\mathcal{R}]{\overset{\operatorname{Sp}}{\longleftarrow}} \operatorname{Prof}$$

 $\bullet \ \mathrm{cRing} \ \mathsf{has} \ \mathsf{pullbacks} \Rightarrow$ 

$$(\operatorname{cRing}^{\operatorname{op}})_{/S} \xrightarrow[]{\operatorname{Sp}_{S}} (\operatorname{Prof})_{/\operatorname{Sp}(S)}$$

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$$S-\mathrm{Alg}^{\mathsf{op}} \xrightarrow[\mathcal{R}_s]{\overset{\mathrm{Sp}_{\mathcal{S}}}{\xleftarrow{\perp}}} (\mathrm{Prof})_{/\mathrm{Sp}(\mathcal{S})}$$

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$$(\operatorname{cRing}^{\operatorname{op}})_{/S} \xrightarrow[\mathcal{R}_{s}]{\overset{\operatorname{Sp}_{S}}{\xleftarrow{}}} (\operatorname{Prof})_{/\operatorname{Sp}(S)}$$





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$$(\operatorname{cRing}^{\operatorname{op}})_{/S} \xrightarrow[]{\mathcal{S}_{P_{S}}} (\operatorname{Prof})_{/\operatorname{Sp}(S)}$$



1 6	$F_1$	$\xrightarrow{\alpha} F_2$	$S \leftarrow \overset{\sigma}{}$	R
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$$S-\mathrm{Alg}^{\mathsf{op}} \xrightarrow[\mathcal{R}_s]{\mathrm{Sp}_{\mathcal{S}}} (\mathrm{Prof})_{/\mathrm{Sp}(\mathcal{S})}$$

 $\begin{array}{clc} \operatorname{cRing} & \boldsymbol{\mathcal{S}} & \operatorname{cRing} & \boldsymbol{\mathcal{S}} \\ \downarrow \mathcal{F}_1 & \downarrow & \downarrow \mathcal{F}_2 & \downarrow \\ \underline{\operatorname{Cat}} & (\operatorname{Prof})_{/\operatorname{Sp}(\boldsymbol{\mathcal{S}})} & \underline{\operatorname{Cat}} & \boldsymbol{\mathcal{S}}\text{-}\operatorname{Alg} \end{array}$ 

$$F_1 \xrightarrow{\alpha} F_2 \qquad \qquad S \xleftarrow{\sigma} R$$

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$$S-\mathrm{Alg}^{\mathsf{op}} \xrightarrow[]{\mathrm{Sp}_{S}} (\mathrm{Prof})_{/\mathrm{Sp}(S)}$$

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$$S\text{-Alg}^{\mathsf{op}} \xrightarrow[]{\operatorname{Sp}_{\mathcal{S}}} (\operatorname{Prof})_{/\operatorname{Sp}(\mathcal{S})}$$

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• The functor  $\mathcal{R}_s$  is fully faithful

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$$S-\mathrm{Alg}^{\mathrm{op}} \xrightarrow[\mathcal{R}_{s}]{\operatorname{Sp}_{s}} (\mathrm{Prof})_{/\mathrm{Sp}(S)}$$

- The functor  $\mathcal{R}_s$  is fully faithful
- i.e. the counit  $\epsilon: {\rm Sp}_{\mathcal S} \circ \mathscr R_{\it s} \Rightarrow 1_{({\rm Prof})_{/{\rm Sp}(\it S)}}$  is a natural isomorphism

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- i.e. the counit  $\epsilon: {\rm Sp}_{\mathcal{S}} \circ \mathscr{R}_{\mathcal{S}} \Rightarrow 1_{({\rm Prof})_{/{\rm Sp}(\mathcal{S})}}$  is a natural isomorphism
- Given  $\sigma: S \to R$  in  $\operatorname{cRing}^{\operatorname{op}}$  we get a functor

$$S \otimes_R \bullet : R\text{-}Alg^{op} \to S\text{-}Alg^{op}$$

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$$S \otimes_R \bullet : R\text{-}\mathrm{Alg}^{\mathsf{op}} \to S\text{-}\mathrm{Alg}^{\mathsf{op}}$$

• A is split by  $\sigma$  iff  $\mathscr{R}(\operatorname{Sp}(S \otimes_R A)) \cong S \otimes_R A$ 

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- The functor  $\mathcal{R}_s$  is fully faithful
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$$S \otimes_R \bullet : R\text{-}\mathrm{Alg}^{\mathsf{op}} \to S\text{-}\mathrm{Alg}^{\mathsf{op}}$$

- A is split by  $\sigma$  iff  $\mathscr{R}(\operatorname{Sp}(S \otimes_R A)) \cong S \otimes_R A$
- If σ : K→ L is a galois extension, and p(x) ∈ K[x] of degree d has r distinct roots, then

$$L^{Hom_{K-\mathrm{Alg}}(\frac{K[x]}{p(x)},L)} \cong L^{r} \cong_{(1)} L^{d} \cong_{(2)} \frac{L[x]}{p(x)} \cong L \otimes_{\mathcal{K}} \frac{K[x]}{p(x)}$$

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$$(\operatorname{cRing}^{\operatorname{op}})_{/S} \xrightarrow[]{\operatorname{Sp}_{S}} [\operatorname{Prof}]_{/\operatorname{Sp}(S)}$$



 $F_1 \xrightarrow{\alpha} F_2 \qquad \qquad S \xleftarrow{\sigma} R$ 

$$\begin{array}{ccc} \operatorname{Split}_{R}(\sigma) & \dashrightarrow & R\text{-}\operatorname{Alg} \\ & \downarrow & & \downarrow S \otimes_{R} \bullet \\ (\operatorname{Prof})_{/\operatorname{Sp}(S)} & \xrightarrow{}_{\mathcal{R}_{s}} & S\text{-}\operatorname{Alg} \end{array}$$
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## Definition

Given a category  $\mathcal{C}$ , two functors  $F_1, F_2 : \mathcal{C}^{op} \to \underline{Cat}$ , a natural transformation  $\alpha : F_1 \Rightarrow F_2$ , and an arrow  $\sigma : S \to R$  in  $\mathcal{C}$ , we define  $\text{Split}_{\alpha}(\sigma)$  via the following 2-pullback in  $\underline{Cat}$ :

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### Definition

Given a category  $\mathcal{C}$ , two functors  $F_1, F_2 : \mathcal{C}^{op} \to \underline{Cat}$ , a natural transformation  $\alpha : F_1 \Rightarrow F_2$ , and an arrow  $\sigma : S \to R$  in  $\mathcal{C}$ , we define  $\text{Split}_{\alpha}(\sigma)$  via the following 2-pullback in  $\underline{Cat}$ :

 To get naturality of *R<sub>s</sub>* from the machinery above requires a 'push-pull formula'

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•  $\operatorname{Split}_{\alpha}(\sigma)$  refines  $\operatorname{Split}_{R}(\sigma)$ :

$$\begin{array}{cccc} \operatorname{Split}_{R}(\sigma)^{\operatorname{op}} & & & \operatorname{Split}_{\alpha}(\sigma) & \longrightarrow & R\operatorname{-Alg}^{\operatorname{op}} \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ \operatorname{Split}_{S}(1_{S}) & & & & \\ & & & & \operatorname{Split}_{SpS} & (\operatorname{Prof})_{/\operatorname{Sp}(S)} & \xrightarrow{}_{\mathscr{R}_{S}} & S\operatorname{-Alg}^{\operatorname{op}} \end{array}$$

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$$(\operatorname{cRing}^{\operatorname{op}})_{/S} \xrightarrow[]{\operatorname{Sp}_{S}} [\operatorname{Prof}]_{/\operatorname{Sp}(S)}$$



 $F_1 \xrightarrow{\alpha} F_2 \qquad S \xleftarrow{\sigma} R$ 

$$\begin{array}{ccc} \operatorname{Split}_{\alpha}(\sigma) & \dashrightarrow & F_{2}(R) \\ \downarrow & & \downarrow F_{2}(\sigma) \\ F_{1}(S) & \xrightarrow{} & F_{2}(S) \end{array}$$

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Recap

• how to produce a Galois Theory for Diff Eq's?

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- how to produce a Galois Theory for Diff Eq's?
- how to produce Galois Theories in general?

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- how to produce a Galois Theory for Diff Eq's?
- how to produce Galois Theories in general?
- 1-categorical Galois Theorem (Borceaux)
  - inspired by Grothendieck Galois Theory
  - E.g. duality results automatically yield Galois Theories

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- $\infty$ -categorical Galois Theorem (R.)

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  - E.g. duality results automatically yield Galois Theories
- $\infty$ -categorical Galois Theorem (R.)
- $(\infty, n)$ -categorical Galois Theorem?

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#### Theorem (Borceux et al. 2001)

Given a category C with pullbacks, and

$$F_1, F_2 : \mathcal{C}^{op} \to \underline{Cat}$$
$$\alpha : F_1 \Rightarrow F_2$$
$$\sigma : L \to K (in \ \mathcal{C})$$

such that  $\alpha$  is fully faithful on each component, and  $\sigma$  is of effective descent with respect to  $F_2$ , we have the following equivalence

 $Split_{\alpha}(\sigma) \simeq F^{\mathbb{G}_{\sigma}}$ 

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#### Theorem (R. 2022)

Given an  $\infty$ -category  $\mathcal C$  with pullbacks, and

$$F_1, F_2 : \mathcal{C}^{op} \to \mathcal{C}at_{\infty}$$
$$\alpha : F_1 \Rightarrow F_2$$
$$\sigma : L \to K (in \mathcal{C})$$

such that  $\alpha$  is fully faithful on each component, and  $\sigma$  is of effective descent with respect to  $F_2$ , we have the following equivalence

 $Split_{\alpha}(\sigma) \simeq \llbracket \widehat{\mathbb{G}_{\sigma}}, F_1 \rrbracket$ 

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## Some Other Instances

- commutative rings (as 'backward affine schemes)
- 'commutative' ring spectra
  - $\bullet\,$  or sheaves of them  $\rightsquigarrow$  differential cohomology
- differential schemes
- Iogical schemes
- derived schemes
- stable homotopy theories

# Thank You

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